

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

| Atty. Dkt. No: 5681-15200 | \$ CERTIFICATE OF EXPRESS MAIL |
|--|---|
| Inventor(s): Ajay Kumar Bala Dutt Venugopal Rao K Sankara R. Bhogi Srinivasan Kannan | UNDER 37 C.F.R. §1.10 "Express Mail" mailing label number: EV 318246841 US DATE OF DEPOSIT: July 14, 2003 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents |
| Title: Read/Write Lock Transaction Manager Freezing | M/S Patent Application P.O. Box 1450 Alexandria, VA 22313-1450 Derrick Brown |

Assignee: Sun Microsystems, Inc.
4150 Network Circle
Santa Clara, CA 95054
U.S.A.

Application Elements

1. Filing Fee

The filing fee is calculated as shown below.

| Total Claims | 56 | -20= | 36 | x \$18.00= | \$648.00 |
|------------------------------------|------------|------|----|------------|------------|
| Independent | | | | | |
| Claims | 8 | -3 = | 5 | x \$84.00= | \$420.00 |
| Multiple Dependent Claims | | | | Fee: | \$0.00 |
| | \$750.00 | | | | |
| | \$40.00 | | | | |
| | \$1,858.00 | | | | |
| Reduction by 50% for Small Entity: | | | | | \$0.00 |
| Total: | | | | | \$1,858.00 |

Fee Authorizations for fees are enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C., Deposit Account No. 501505/5681-15200/RCK.

One duplicate copy of this form is enclosed.

- 2. Specification -
 - 22 page(s) of specification; 11 page(s) of claims, 1 page(s) of abstract
- 3. Drawings

Formal Figure(s) 1-10 on 10 sheet(s)

- 4. Oath or Declaration
 - Newly executed
 - Copy from a prior application (see 37 C.F.R. § 1.63(d))

Deletion of Inventor(s) (in continuation or divisional applications):

| ☐ Delete the following inventor(s) named in the prior non-provisional application: |
|--|
| The inventor(s) to be deleted are set forth on a signed sheet attached hereto. 5. The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein. |
| 6. Microfiche Computer Program (Appendix) |
| 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| Computer Readable copy |
| Paper Copy (identical to computer copy) |
| Statement verifying identity of above copies |
| 8. Assignment Papers |
| 9. Power of Attorney |
| ✓ Is attached. |
| The power of attorney appears in the original papers of the prior application. |
| Since the power does not appear in the original papers, a copy of the power in the prior |
| application is enclosed. |
| 10. Information Disclosure Statement (IDS) |
| Copies of IDS Citations |
| 11. Amendments |
| A preliminary amendment is enclosed. |
| Cancel in this application claim(s) before calculating the filing fee. At least one |
| independent claim is retained for filing purposes. |
| — · |
| Amend the specification by inserting before the first line the sentence: |
| 12. Return Receipt Postcard |
| 13. Small Entity Status |
| A small entity statement is enclosed. |
| A small entity statement was filed in the prior non-provisional application and such status is |
| still proper and desired. |
| Is no longer claimed. |
| 14. Priority of foreign application number, filed on is claimed under |
| 35 U.S.C. §§ 119(a)-(d) |
| 15. Petition under 37 C.F.R. § 136 for Extension of Time |
| 16. Other: |
| |
| Address all future correspondence to: |
| Robert C. Kowert |
| 35690 Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. |
| P.O. Box 398 |
| Austin, Texas 78767 |
| Phone: (512) 853-8800 Fax: (512) 853-8801 |
| 10 1 11 |
| |
| Signature |
| Name Robert C. Kowert |
| Registration No. 39,255 |
| Date July 14, 2003 |

PATENT (5681-15200/P7637)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: Unknown Filed: Herewith Inventor(s): § Ajay Kumar § Bala Dutt Venugopal Rao K § § Sankara R. Bhogi § Sriniyasan Kannan § Title: Read/Write Lock § Transaction Manager §

Examiner: Unknown
Group/Art Unit: Unknown
Atty. Dkt. No: 5681-15200

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

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Commissioner for Patents
M/S Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

FEE AUTHORIZATION

Commissioner for Patents M/S Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

Freezing

The Commissioner is hereby authorized to charge the following fee to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 501505/5681-15200/RCK:

Fee: Filing Fee Amount: \$750.00 Attorney Docket No.: 5681-15200 Fee: Excess Claims Amount: \$1,068.00 Attorney Docket No.: 5681-15200

Respectfully submitted,

Robert C. Kowert Reg. No. 39,255

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. P.O. Box 398

Austin, TX 78767-0398

(512) 853-8800 Date: <u>July 14, 2003</u>